

BULLETIN N° 234
ACADÉMIE EUROPEENNE
INTERDISCIPLINAIRE
DES SCIENCES
INTERDISCIPLINARY EUROPEAN ACADEMY OF SCIENCES



Lundi 1er avril 2019 :
à 16h

à l'Institut Henri Poincaré salle 421
11, rue Pierre et Marie Curie 75005 PARIS/Métro : RER Luxembourg

Conférence :

" Progrès récents dans le transport de molécules au travers des membranes cellulaires, ou comment des molécules polaires de haut poids moléculaire peuvent traverser une barrière imperméable sans systèmes de transport spécialisés."

par Sandrine SAGAN, Directrice de Recherche CNRS,
Laboratoire des Biomolécules (UMR 7203 CNRS-ENS-SU), Paris

Notre Prochaine séance aura lieu le lundi 6 mai 2019 à 16h
à l'Institut Henri Poincaré salle 314

Elle aura pour thème

Conférence:

*" Biologie quantitative de la communication entre neurones:
instabilité moléculaire et mémoire, du normal au pathologique"*

par Antoine TRILLER,
membre de l'Académie des Sciences
Directeur de Recherche (CE) INSERM,
Institut de Biologie de l'École Normale Supérieure
(ENS . CNRS UMR8197 . Inserm U1024)

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Avril 2019

N°234

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Prochaine séance : lundi 6 mai 2019

Conférence:

*" Biologie quantitative de la communication entre neurones:
 instabilité moléculaire et mémoire, du normal au pathologique "*

par Antoine TRILLER,

membre de l'Académie des Sciences
 Directeur de Recherche (CE) INSERM,
 Institut de Biologie de l'École Normale Supérieure
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ACADEMIE EUROPEENNE INTERDISCIPLINAIRE DES SCIENCES

Fondation de la Maison des Sciences de l'Homme, Paris.

Séance du Lundi 1er avril 2019/IHP 16h

La séance est ouverte à 16h sous la **Présidence de Victor MASTRANGELO** et en la présence de nos Collègues Gilbert BELAUBRE, Jean BERBINAU, Jean-Louis BOBIN, Eric CHENIN, Gilles COHEN-TANNOUDJI, Sylvie DERENNE, Claude ELBAZ, Michel GONDRAN, Irène HERPELITWIN, Claude MAURY, Marie-Françoise PASSINI, Edith PERRIER, Jean SCHMETS, Alain STAHL, Jean-Paul TEYSSANDIER, Jean-Pierre TREUIL .

Etaient excusés :François BEGON, Jean-Pierre BESSIS, Bruno BLONDEL, Michel CABANAC, Alain CARDON, Juan-Carlos CHACHQUES, Alain CORDIER , Daniel COURGEAU, Ernesto DI MAURO, Jean-Felix DURASTANTI, Françoise DUTHEIL, Vincent FLEURY, Robert FRANCK, Jean -Pierre FRANCOISE, Jacques HENRI-ROBERT, Dominique LAMBERT, Pierre MARCHAIS, Anastassios METAXAS, Jacques NIO, Pierre PESQUIES, Jacques PRINTZ, Denise PUMAIN, René PUMAIN, Michel SPIRO, Mohand TAZEROUT, Jean VERDETTI.

Etait présent notre collègue membre correspondant Benoît PRIEUR

I. Conférence de Sandrine SAGAN

A. Présentation du conférencier par notre Président Victor MASTRANGELO.

Notre conférencière nous a communiqué une esquisse de sa carrière:

**Dr Sandrine SAGAN, Directrice du Laboratoire des Biomolécules,
UMR 7203 CNRS - ENS - SU, Département de Chimie,
équipe « Biomolécules : Analyse, Interactions Moléculaires et Cellulaires »**

***Mots clés de Recherche:** Biochimie, chimie, pharmacologie, peptides, peptides à pénétration cellulaire, Interactions peptide/membrane, protéines membranaires, récepteur couplé à la protéine-G (GPCR), photolabeling, spectrométrie de masse MALDI-TOF, calorimétrie IT et DS, spectroscopie et microscopie de fluorescence.*

Esquisse biographique:

Sandrine Sagan a fait des études de 1er et second cycle de biochimie et Pharmacologie à l'Université Pierre et Marie Curie (UPMC) à Paris. Elle a obtenu en 1991 un Doctorat de Pharmacologie Moléculaire et Cellulaire portant sur les relations structure-activité des peptides opioïdes à l'Insitut Jacques Monod. Pendant cette période, elle a également travaillé quelques mois dans le groupe de Hans W. Kosterlitz (Aberdeen, Écosse), qui a identifié les premières enképhalines peptides opioïdes. Après un post-doctorat à l'Institut de Biologie Moleculaire (Salzbourg, Autriche), elle a rejoint en tant que chercheuse le département de Chimie du CNRS à l'UPMC en 1993, et elle a travaillé dix-huit mois dans le groupe de Jacques Glowinski (INSERM U 114, Collège-de-France). Son projet était axé sur le récepteur de membrane tachykinin NK-1 en utilisant la spectrométrie de masse/photolabelling et les approches pharmacologiques pour comprendre les bases moléculaires pour l'interaction de la Substance P à ligand de peptide naturel avec le récepteur. Ses domaines d'intérêt de recherche actuels sont dédiés aux aspects fondamentaux de la membrane cellulaire: mécanismes de pénétration cellulaire et/ou activité lytique (antimicrobienne) des

peptides/protéines pendant leur interaction avec les membranes cellulaires.

Education

- PhD (Paris 6), Pharmacologie Moléculaire et Cellulaire, 1991
- « Habilitation à diriger des Recherches », UPMC (Paris 6), 1997.

Fonctions académiques

2014- Directeur du Laboratoire des Biomolécules, UMR7203 CNRS –UPMC - ENS

2015 DR1 CNRS (section 16 INC)

2012-2016 Nomination en tant que membre, de la section 16 CoNRS, Chimie des organismes vivants et Chimie médicinale. Design et propriétés de composés d'intérêt biologique.

01/2011- Membre du conseil scientifique du LABEX (Laboratory of excellence) MiChem

2009-2016 Chef d'Équipe « Biomolécules : Analyse, Interactions Moléculaire et Cellulaire »

2007-08 Directeur adjoint de l'Unité de Recherche UMR 7613

2005 DR2 CNRS UMR 7613 UPMC-CNRS dirigée par la Professeure Solange Lavielle.

1997-2005 CR1, UMR CNRS 7613 dirigée par Prof. A. Marquet, puis par S. Lavielle (Mai 1999).

1993-1997 CR2, URA CNRS 493, dirigée par Prof. M. Gaudry, puis Andrée Marquet.

1992-1993 Bourse post-doctorale du Ministère de la Recherche MRE

1989-1992 Allocataire de recherche – Monitrice de l'Enseignement Supérieur

Récompenses

Coordinatrice de projets subventionnés (PRC-JSPS-CNRS, ERA-NET, ANR, ANRS, Fondation ARC, PHC-Balaton)

Lauréate de la médaille de bronze du CNRS (département de Chimie) en 2001

CDTM « Advanced Drug Delivery Reviews » Prize, Cardiff, 2008

Bourse post-doctorale du ministère français des relations extérieures of (MRE)

Bourse Embo 1990 au Royaume Uni

Expérience de Recherche

2009- Laboratoire des Biomolécules, Paris, France

1995-2008 Laboratoire de Synthèse, Structure et Fonction des molécules bioactives, Paris, France

1993-1995 Chaire de Neuropharmacologie (J Glowinski), Collège-de-France, Paris, France

1992-1993 Institut de Biologie Moléculaire Bourse post-doctorale MRE (G. Kreil), Salzbourg, Autriche

1989-1990 Unité de Recherche sur les traitements addictives (H. Kosterlitz), EMBO fellow, Marischal College, Aberdeen, Scotland.

1989-1991 : Laboratoire de Bioactivation des Peptides (P. Nicolas), Institut J. Monod, Paris, France

Production scientifique:

(<https://orcid.org/0000-0003-0083-4411>)

95 publications and 12 actes de colloque (4000 citations Google Scholar), 64 invitations à des conférences et séminaires,

5 chapitres de livres, > 150 communications orales et de poster

II. Conférence

Résumé de la conférence:

" Progrès récents dans le transport de molécules au travers des membranes cellulaires, ou comment des molécules polaires de haut poids moléculaire peuvent traverser une barrière imperméable sans systèmes de transport spécialisés."

Les membranes sont présentes à tous les niveaux d'organisation des organismes vivants. Longtemps présentée comme une barrière passive séparant la cellule de son environnement, la membrane plasmique joue un rôle majeur dans l'homéostasie cellulaire en assurant des fonctions dynamiques cruciales de contrôle et de traitement de l'information en réponse aux nombreux stimuli extérieurs. Malgré sa composition moléculaire simple (lipides, protéines, glucides), son organisation spatio-temporelle complexe confère à la membrane plasmique des propriétés de déformation, courbure et d'élasticité très dynamiques. Des systèmes protéiques de transport actif sophistiqués contrôlent les échanges à travers la membrane pour importer des nutriments essentiels. Depuis une trentaine d'années, il est connu que des nanoobjets de taille importante et polaires comme des peptides ou des protéines sont capables de traverser la membrane plasmique sans utiliser ses systèmes de transport actifs. J'illustrerai à l'aide d'exemples récents les aspects physico-chimiques qui permettent d'appréhender et dévoiler ces nouveaux mécanismes de passage des membranes.

Un compte-rendu détaillé, voire **un enregistrement audio-vidéo** agréé par le conférencier, sera prochainement disponible sur le site de l'AEIS <http://www.science-inter.com>.

REMERCIEMENTS

Nous tenons à remercier vivement Mme Sylvie BENZONI Directrice de l'Institut Henri POINCARÉ et Mmes Florence LAJOINIE et Chantal AMOROSO ainsi que les personnels de l'IHP pour la qualité de leur accueil.

Documents

– Pour préparer la conférence d'Antoine TRILLER , nous vous proposons :

p. 07 : un résumé de sa conférence " *Biologie quantitative de la communication entre neurones: instabilité moléculaire et mémoire, du normal au pathologique*"

p. 08 : paru sur le site <https://www.ibens.ens.fr/spip.php?rubrique22> une brève présentation par Antoine TRILLER de la biologie cellulaire de la synapse en relation avec sa conférence

p. 09: un article d'Antoine TRILLER et al. intitulé "*Physico-Pathologic Mechanisms Involved in Neurodegeneration: Misfolded Protein-Plasma Membrane Interactions*" paru dans Neuron 95, July 5, 2017

Résumé Article Antoine TRILLER:

**Biologie quantitative de la communication entre neurones:
instabilité moléculaire et mémoire, du normal au pathologique.**

Les neurones communiquent entre eux et forment des réseaux qui sont à l'origine des propriétés du système nerveux. Cette communication se fait au niveau de jonctions appelées "synapse". Les composants moléculaires des synapses sont instables et bougent tout le temps par des processus de diffusion. Ceci est à l'origine d'un paradoxe que nous aborderons: comment assurer une mémoire stable quand toutes les molécules bougent. Nous évoquerons ensuite les conséquences des altérations de ces mouvements dans des pathologies neurodégénératives comme la maladie d'Alzheimer ou de Parkinson.

Biologie cellulaire de la synapse

<https://www.ibens.ens.fr/spip.php?rubrique22>

par Antoine TRILLER Institut de Biologie de l'École Normale Supérieure ENS . CNRS UMR8197 . Inserm U1024

Contexte

Les neurones détectent, intègrent et transmettent l'information de manière efficace grâce à la spécialisation de leur membrane en sous domaines fonctionnels et structuraux comme la zone active ou la membrane post synaptique. L'efficacité et la précision de la neurotransmission dépendent fortement de deux propriétés apparemment antagonistes de la membrane synaptique : la stabilité de son organisation, et sa capacité de s'adapter rapidement aux modifications environnementales.

De plus, la stabilité structurale des synapses doit être assurée malgré la fluidité des membranes. En effet, les molécules des membranes bougent en effet dans le plan de la membrane du fait de l'agitation thermique brownienne, qui tend à favoriser leur distribution homogène. Les neurones dépensent donc de l'énergie pour contrôler ces mouvements et maintenir les molécules à des sites particuliers par des mécanismes qui diminuent cette fluidité. **Notre équipe s'intéresse à la régulation de la dynamique des récepteurs synaptiques par les différents éléments (structuraux ou fonctionnels) qui constituent la synapse.**

Résultats marquants

Ces dix dernières années, l'équipe a abordé ces paradoxes conceptuels en développant de nouveaux outils technologiques et analytiques pour suivre le comportement des composants synaptiques au niveau de la molécule unique et ainsi changer l'échelle d'analyse. Plus précisément, les chercheurs ont développé le suivi et l'analyse de particule unique par des nanocristaux (quantum-dots). Nous avons montré qu'il existe des échanges rapides de récepteurs entre les sites synaptiques et extra synaptiques et a établi que la stabilisation transitoire des récepteurs aux synapses se fait par des interactions avec des protéines partenaires comme les protéines d'échafaudage. Les techniques d'imagerie en super-résolution (PALM, STORM) ont permis l'accès à l'organisation détaillée de ces structures post-synaptiques. Les régulations des interactions récepteurs-échafaudage et intra-échafaudage apparaissent comme un principe central de la régulation du nombre de récepteurs aux synapses.

Fossati M, Pizzarelli R, Schmidt ER, Kupferman JV, Stroebel D, Polleux F, Charrier C (2016) SRGAP2 and Its Human-Specific Paralog Co-Regulate the Development of Excitatory and Inhibitory Synapses. *Neuron* 91:356-69

Cantaut-Belarif Y, Antri M, Pizzarelli R, Colasse S, Vaccari I, Soares S, Renner M, Dallel R, Triller A*, Bessis B* (2017) Microglia control the glycinergic but not the GABAergic synapses via prostaglandin E2 in the spinal cord *J Cell Biol* 216:2979-2989

Shrivastava AN, Aperia A, Melki R, Triller A (2017) Physico-Pathologic Mechanisms involved in Neurodegeneration : Misfolded Proteins-Plasma Membrane Interactions. *Neuron* 95(1):33-50 ; doi : 10.1016

Shrivastava AN, Redeker V, Fritz N, Pieri L, Almeida LG, Spolidoro M, Liebmann T, Bousset L, Renner M, Léna C, Aperia A, Melki R, Triller A (2015) α -synuclein assemblies sequester neuronal α_3 -Na⁺/K⁺-ATPase and impair Na⁺ gradient. *EMBO J* 34:2408-23

Specht CG, Izeddin I, Rodriguez PC, El Beheiry M, Rostaing P, Darzacq X, Dahan M, Triller A (2013) Quantitative nanoscopy of inhibitory synapses : counting gephyrin molecules and receptor binding sites. *Neuron* 79:308-321

Physico-Pathologic Mechanisms Involved in Neurodegeneration: Misfolded Protein-Plasma Membrane Interactions

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<http://dx.doi.org/10.1016/j.neuron.2017.05.026>

Several neurodegenerative disorders, such as Alzheimer's and Parkinson's disease, are characterized by prominent loss of synapses and neurons associated with the presence of abnormally structured or misfolded protein assemblies. Cell-to-cell transfer of misfolded proteins has been proposed for the intra-cerebral propagation of these diseases. When released, misfolded proteins diffuse in the 3D extracellular space before binding to the plasma membrane of neighboring cells, where they diffuse on a 2D plane. This reduction in diffusion dimension and the cell surface molecular crowding promote deleterious interactions with native membrane proteins, favoring clustering and further aggregation of misfolded protein assemblies. These processes open up new avenues for therapeutics development targeting the initial interactions of deleterious proteins with the plasma membrane or the subsequent pathological signaling.

Misfolding and aggregation of amyloid- β (A β), tau, α -synuclein (α -syn), superoxide dismutase 1 (SOD1), and huntingtin exon 1 (HTT_{exon1}) are tightly linked to Alzheimer's disease (AD), Parkinson's disease (PD), amyotrophic lateral sclerosis (ALS), and Huntington's disease (HD), respectively (Goedert et al., 2010; Goedert, 2015). The ability of these protein particles to transfer from one cell to another, similar to the aggregated form of the prion protein (PrP^{RES}; RES, resistant to proteolysis) in Creutzfeldt-Jakob disease (CJD), accounts for the widespread pathophysiology seen in neurodegenerative disorders. The cell-to-cell "prion-like" transfer of misfolded protein aggregates involves release from one neuron, uptake by nearby neurons, and, last, seeded assembly of misfolded host proteins in recipient neurons. Several excellent reviews cover the topic of cell-to-cell transfer in detail (Brundin et al., 2010; Jucker and Walker, 2013); however, the pathophysiological effect of misfolded protein aggregates binding to the plasma membrane, including interaction with the biomolecules therein, in particular under non-acute experimental conditions, has not been specifically addressed. In this review, we discuss biophysical mechanisms that regulate the behavior of pathogenic assemblies following release from the donor neuron prior to their internalization within the recipient neurons. The review will focus on experimental evidence showing that pathogenic proteins bind to several biomolecules (proteins, lipids, and carbohydrates) at the plane of the plasma membrane. These stochastic interactions initiate multiple deleterious signaling pathways that can directly or indirectly trigger a cascade of events that ultimately lead to pathological symptoms. They also play a key role in pathogenic protein uptake, seeded aggregation of the endogenous proteins, and rapid proteostasis collapse. Thus, the interactions of pathogenic assemblies with membrane components very likely contribute to

and add on cell autonomous factors that increase the vulnerability of healthy neurons (Saxena and Caroni, 2011; Surmeier et al., 2017).

Structure of Pathogenic Protein Assemblies and Pathology

The constituents of pathogenic protein assemblies participate in many cellular processes. For instance, tau is involved in the stabilization of microtubules (Wang and Mandelkow, 2016). Monomeric A β peptides have neurotrophic and neuroprotective functions (Chasseigneaux and Allinquant, 2012). Huntingtin is involved in scaffolding, transport, and autophagy processes (Saudou and Humbert, 2016). α -Syn regulates synaptic transmission and calcium and mitochondrial homeostasis (Benskey et al., 2016). The aggregation of these proteins into mega-Dalton assemblies results in the loss of their cellular functions and the acquisition of deleterious properties (Ross and Poirier, 2004; Tyedmers et al., 2010).

Although made of proteins with different primary structures, pathogenic protein assemblies share several common properties. First, they form a continuum of oligomeric species ranging from dimers to fibrillar assemblies (Pieri et al., 2016). These fibrils could be straight, resembling needles, or, in other instances, be curved and twisted (Bousset et al., 2013). Second, aggregated pathogenic assemblies propagate from cell to cell and amplify themselves by recruiting soluble/monomeric proteins (Brundin et al., 2010). Third, pathogenic assemblies also share structural similarities within fibrils, where the constituting monomers are organized into parallel or anti-parallel, systematically hydrogen-bonded β sheets from which water molecules are excluded (Eisenberg and Jucker, 2012). When uncharged, the side chains extending from one β sheet interdigitate, like the teeth

of a zipper, with those extending from another β sheet. When charged, the side chains are exposed to the surface of the fibrillar core (Eisenberg and Jucker, 2012). Thus, the side chains generate surfaces characteristic for each fibrillar assembly.

The fibrillar protein assemblies associated with AD, PD, ALS, HD, and CJD differ by their surfaces because of differences in their primary structures. Differences in the surface of fibrils also exist for pathogenic assemblies made of one given protein, and they are referred to as strains (Melki, 2015). For a given pathogenic protein, different surface characteristics result from the conditions under which they formed. As a consequence, strains will have different biophysical properties and, likely, distinct interactomes. Interesting and in agreement with this view is the finding that fibrillar full-length and wild-type α -syn assemblies with distinct surfaces, although made of the same protein, yield different pathologies (Bousset et al., 2013; Peelaerts et al., 2015). Similar strain-dependent pathologies for mostly truncated tau assemblies (Kaufman et al., 2016; Sanders et al., 2014) and A β assemblies (Stöhr et al., 2014) have been reported. Although the concept of strains is very new for α -syn, A β , and tau, strain-dependent pathology has been long known and well documented for Pr^{PRES} (Collinge and Clarke, 2007). Whether distinct surfaces of pathogenic protein assemblies and their location may account for the differences in their spatial and temporal route by which the pathology spreads within the brain remains an open question.

Pathogenic Protein Formation and Traffic

A β is an extracellular cleavage product of amyloid precursor protein, whereas α -syn and tau, HTTexon1, and SOD1 are intracellular proteins. Because of the predominantly extra-cellular localization of A β peptides, they can form aggregates, termed amyloid plaques, within the extracellular space when their local concentration exceeds the critical concentration for aggregate formation (Jucker and Walker, 2013). The concentration of all the other polypeptides listed above is high within axons, and, expectedly, they form intracellular aggregates. Several studies have demonstrated that α -syn, tau, and HTTexon1 are secreted from cells, independent of cell death, both in non-toxic monomeric form and toxic fibrillar forms (Kfoury et al., 2012; Brahic et al., 2016; Wu et al., 2016; Ren et al., 2009; Hansen et al., 2011; Takeda et al., 2015; Wegmann et al., 2016). The exact state of pathogenic assemblies outside of cells is still unclear, but both naked and membrane-encapsulated forms have been reported. This review neither covers the behavior of pathogenic assemblies within membranous compartments, because they may not directly interact with the plasma membrane components, nor the transfer of pathogenic assemblies via tunneling nanotubes, because this process bypasses the release and uptake pathways (Abounit et al., 2016; Tardivel et al., 2016). In the following sections, we will focus on the biophysical properties of pathogenic proteins following their release from one cell and binding to the plasma membrane of neighboring cells.

3D-to-2D Environmental Transition of Pathogenic Proteins

In 1905, Albert Einstein predicted that the random motions of molecules in a liquid would affect suspended particles, resulting in

irregular, random motions of the particles or Brownian diffusion (Einstein, 1905; Box 1). The mode of diffusion in a biological environment is referred to as anomalous diffusion, which means that the mean square displacement of a molecule does not follow a linear relationship with time and is governed by size distribution of surrounding molecules, molecular density, and inter-molecular interactions (Bouchaud and Georges, 1990; Dix and Verkman, 2008; see Box 1 for definitions, equations, and figure). Protein mega-Dalton assemblies involved in diseases, such as A β , α -syn, tau, etc., are heterogeneous in nature and behave in a complex manner after their release in the extracellular space, where they exhibit 3D diffusion. The corresponding diffusion coefficients are difficult to predict because this will depend on their size, concentration, molecular density of the extracellular space, and the presence of partner molecules with which they may interact. Misfolded protein assemblies, naked or in complex with other secreted proteins, have to cross the extracellular matrix (ECM) environment to reach the “target” plasma membrane, where they may interact with proteins, lipid molecules, and/or membrane-associated ECM components (Figure 1). Then, after binding to the plasma membrane, the diffusion is restricted from 3D to a 2D diffusion in a crowded plane (see inset Figure B in Box 1). The reduced freedom of dimension available for diffusion increases the probability of molecular collisions with other proteins, including homologous ones, and then leads to the formation of clusters on the plasma membrane (Byström et al., 2008). This dynamic phenomenon has been observed for A β (Renner et al., 2010; Shrivastava et al., 2013; Ganzinger et al., 2014; Calamai et al., 2016) and α -syn (Shrivastava et al., 2015) assemblies.

It is difficult to estimate the volume explored by a given pathogenic protein in vivo after it has been released in the extracellular space, which corresponds to 15%–20% of the normal adult brain volume (Syková and Nicholson, 2008). When pathogenic protein assemblies are injected within a brain region, a 3D diffusion-dependent spread is seen around the injection site, and the spreading is proportional to the size of the protein assemblies (Rey et al., 2013; Selenica et al., 2013; Peelaerts et al., 2015; Shrivastava et al., 2015). However, at early disease stages, the amount of released misfolded protein assemblies is likely to be small, and they propagate along anatomically connected regions (Braak and Braak, 1991; Braak et al., 2003). This suggests the following sequence: a localized release of the deleterious protein assemblies at/around synapses, binding to the nearby synaptic plasma membrane, internalization in the adjacent neurons, and anterograde or retrograde transport with a possible amplification resulting from the recruitment and aggregation of endogenous soluble proteins. In case of *trans*-synaptic transfer, the distance to travel by means of 3D diffusion is small compared with that of the synaptic cleft, taking into account the space limitation by astroglia. Another but not exclusive possibility would be that pathogenic protein secretion/release and uptake would take place at a distance from synaptic sites that involve binding and uptake at adjacent or neighboring cell membranes. Anyhow, the propagations involve neuron-to-neuron and/or neuron-to-glia transfer (Pearce et al., 2015). The next sections will focus on various biomolecules that may contribute to the binding of pathogenic proteins on the external surface of neurons and the biophysical consequences of these interactions.

Box 1. Definitions of Terms Associated with the Diffusion of Pathogenic Proteins**DIFFUSION**

Dispersion from one region of space to another resulting from random movements of particles. Pathogenic proteins diffuse randomly in the 3D extracellular space (3D) and bind to the plasma membrane, where they diffuse in the 2D plane. During diffusion, they may bind to or unbind from various proteins/lipids.

SINGLE-PARTICLE TRACKING

Monitoring the movements of fluorescently labeled particles (e.g., proteins) at a single-molecule level, allowing the determination of characteristic physical properties. The diffusion behavior of single pathogenic proteins can be visualized using tagged assemblies. The label can make use of the biotin-streptavidin complex for quantum dot-based tracking, photo-convertible dyes (such as Dendra2 and mEos; Li et al., 2016) for super-resolution PALM-based tracking, or fluorescent antibodies (fragment antigen-binding [F_{ab}] or nanobodies) for universal point accumulation for imaging in nanoscale topography (uPAINT) (Chamma et al., 2016).

DIFFUSION COEFFICIENT

The surface area explored per unit time by a diffusing particle. The diffusion coefficient (D) is a physical characteristic that will depend on the size of diffusing molecules, the physical properties of the surrounding environment, temperature, and pressure. In biological systems, it is an effective diffusion coefficient that depends on local viscosity and molecular interactions. This is why a broad range of diffusion coefficients (10^{-4} – $10 \mu\text{m}^2/\text{s}$) is observed for pathogenic proteins when diffusing on the plasma membrane. As expected, a larger protein complex (e.g., an aggregate) will diffuse slower than a smaller complex. The value of the diffusion coefficient is computed using mean square displacement values (MSD, see below).

MSD

MSD is defined as the square of the displacement of a particle at a given time relative to the position of the particle at zero time, averaged over many particles (Dix and Verkman, 2008). The shape of the MSD versus time curve forms the basis of classification of different modes of diffusion, such as Brownian/normal or anomalous or directed diffusion (Figure A).

CHARACTERIZATION OF DIFFUSION BEHAVIOR BASED ON MSD

Normal or Brownian diffusion: The diffusion of particles in a homogeneous solution where the size of the diffusing particle is comparable with other molecules in the solution. The primary determinants of diffusion of a given particle are the particle size and shape. Because of local heterogeneities of biological systems, Brownian diffusion is only an approximation. For Brownian diffusion, MSD (r^2) follows a linear relation with time (Figure A, blue trace). The MSD (r^2) of a particle is related to the dimension of diffusion (2D or 3D) by the following equations (Saxton and Jacobson, 1997):

$$r^2 = 6Dt \text{ (3-dimensional diffusion)}$$
$$r^2 = 4Dt \text{ (2-dimensional diffusion)}$$

Anomalous diffusion: Biomolecules diffuse in a non-homogeneous environment, with particles (e.g., proteins) of various sizes and shapes present in the solution, within a volume or surface having heterogeneous properties (Figure A, green trace). On the plasma membrane, pathogenic proteins exhibit anomalous diffusion behavior. This is because pathogenic proteins encounter both resident membrane proteins/lipids and other pathogenic proteins with which they interact and/or collide. The inter-molecular collisions and interactions within the membrane prevent Brownian behavior. For anomalous diffusion, the MSD (r^2) of a particle is related to D by the following equations (Saxton and Jacobson, 1997):

$$r^2 = 6Dt^\alpha \text{ (3-dimensional diffusion)}$$
$$r^2 = 4Dt^\alpha \text{ (2-dimensional diffusion)}$$

α is a measure of non-linearity. Typically, on the plasma membrane, $\alpha < 1$, and the diffusing behavior is qualified as anomalous sub-diffusion.

(Continued on next page)

Box 1. Continued

DIRECTED DIFFUSION

A particle follows directed motion; for example, when bound to cytoskeletal structures through molecular motors, it moves with a given velocity along one direction (Figure A, red trace). It also diffuses over a short distance in the perpendicular direction because of the flexibility of the cytoskeletal element, or it diffuses isotropically over short distances from its attachment point because of the flexibility of the attachment (Saxton and Jacobson, 1997). This type of diffusion is observed when a pathogenic protein is bound to vesicles following endocytosis and anterogradely/retrogradely trafficked along microtubules. The MSD (r^2) of a particle, for a velocity, V , is related to D by the following equation:

$$r^2 = 4Dt^2 + (Vt)^2 \text{ (2-dimensional diffusion)}$$

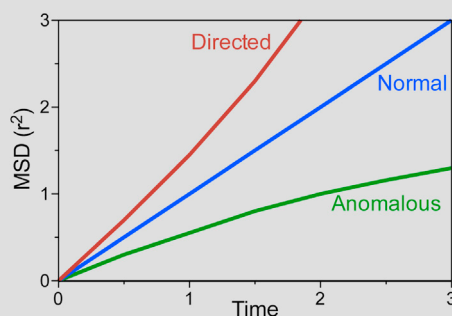


Figure A. Shown is a plot of MSD (r^2) versus time (adapted from Saxton and Jacobson, 1997) for normal (Brownian) diffusion, anomalous diffusion (downward-bent), and directed diffusion (upward-bent) diffusion.

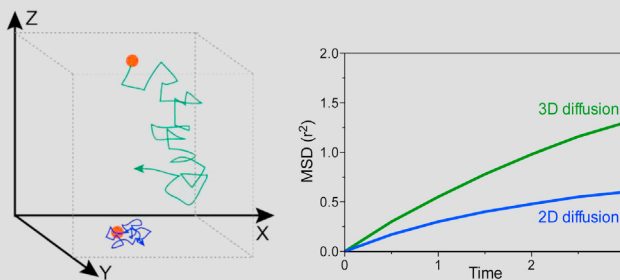


Figure B. Transition of Diffusing Pathogenic Proteins from 3D to 2D.

Shown are trajectories of a pathogenic protein diffusing in 3D (green) or 2D (blue) space (left). The orange spot depicts the point of origin (for example, release site). In 3D and non-infinite time intervals, the probability for a random walk to never return to its starting point is certain (Ben-Naim et al., 2008). In 2D, a randomly diffusing trajectory is certain to return to its starting point in a given time interval and depends mainly on the diffusion coefficient. The MSD versus time plot (right) for a pathogenic protein in the 3D extracellular space has the characteristic of anomalous diffusion because of heterogeneous viscosity of the extracellular space in which it travels. When interacting with the plasma membrane plane, the diffusion of the same protein will be restrained to a 2D space. Here the anomalous diffusion displays increased confinement (blue curve, downward shift) resulting from the crowded environment (Saxton and Jacobson, 1997). The reduction of the volume available for diffusion forms extracellular space (3D), so the cell surface (2D) increases the probability of molecular encounters at the plasma membrane, which, consequently, acts as a chemical reactor.

Pathogenic Protein Interactions with the Plasma Membrane

Pathogenic protein assemblies bind to a large number of molecules at the cell surface (Figure 1; Table 1). Although they do not have “specific receptors” and interact with many membrane-associated molecules and diverse protein assemblies, their distinct surface properties exhibit different affinities for mol-

ecules at the cell surface. They cluster on the plasma membrane of neuronal and non-neuronal cell types and trigger deleterious consequences resulting from protein assemblies that drive changes in lipid bilayer fluidity, membrane architecture, and the normal distribution of membranous proteins. Several plasma membrane and membrane-associated components (proteins, lipids, and ECM) affect and/or contribute to the interaction of

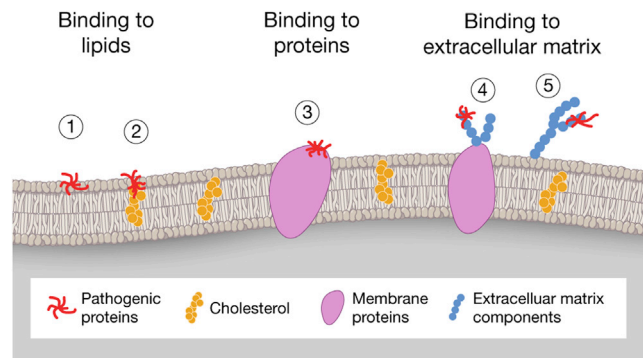


Figure 1. Binding Partners of Pathogenic Proteins

Pathogenic proteins may bind to various biomolecules on the plasma membrane. This includes lipids (1) and cholesterol (2), receptors and channels (3), and ECM components, including glycoproteins (4) or carbohydrate chains (5). Also see Table 1 for known pathogenic protein binding partners.

misfolded protein assemblies, whether naked or in complex with other secreted proteins (Table 1).

Interactions with the ECM Components

Both the type and net charge of the ECM components and the size and net charge of the misfolded protein assemblies contribute to their binding and further aggregation. ECM components may act as a barrier restricting access to the plasma membrane: neurons with abundant ECM components are devoid of neurofibrillary tangles of tau in AD (Brückner et al., 1999; Härtig et al., 2001; Morawski et al., 2010) and are protected against A β neurotoxicity (Miyata et al., 2007). The implication of ECM components has been reinforced by recent studies using mice lacking aggrecan (chondroitin sulfate proteoglycan), cartilage link-protein 1 (stabilizing the interaction between hyaluronic acid and proteoglycans such as aggrecan), or tenascin-R (extracellular glycoprotein) (Suttkus et al., 2016). Mouse knockout for any of the three components increased the internalization of tau protein and, consequently, increased tau spreading (Suttkus et al., 2016). Other glycoproteins, such as reelin (secreted protein), were shown to protect neurons by binding soluble A β species, thus delaying fibril formation and preventing spine loss (Pujadas et al., 2014).

In contrast, ECM components such as proteoglycans containing heparan sulfate side chains (HSPGs) promote the binding and internalization of misfolded protein assemblies, including PrP (Horonchik et al., 2005), tau, and α -syn (Holmes et al., 2013) or A β (Kanekiyo et al., 2011). Although agrin and glypicans were identified in a proteomics screen with fibrillar α -syn assemblies (Shrivastava et al., 2015), the implication of specific HSPGs remains unclear. Apart from contributing to A β assemblies binding to cells, HSPGs also promote the conversion of non-fibrillar amyloid A β into neurotoxic fibrillar forms in AD. HSPGs, including agrin and glypicans, are associated with senile plaques in the brain of human AD patients (Verbeek et al., 1999; van Horsen et al., 2002). Agrin also binds to α -syn in a heparan sulfate-dependent manner, induces conformational changes, and favors aggregation (Liu et al., 2005). In the same line, agrin co-localizes with Lewy bodies in the substantia nigra in the PD brain (Liu et al., 2005). Altogether, these data indicate that HSPGs not

only contribute to the binding of misfolded protein assemblies but also promote their aggregation. It is plausible that, although agrin-HSPG promotes fibrillization, another unknown HSPG promotes internalization. A recent study showed that hyposialylation favors the cellular uptake of A β (Bosch-Morató et al., 2016). A clearer picture of the structure, function, and distribution of the ECM is still emerging, but, based on these studies, it appears that chondroitin-sulfated proteoglycans may safeguard neurons from pathogenic proteins, whereas heparan-sulfated proteoglycans increase neuronal vulnerability.

Interactions with Lipid Components

Direct interactions of misfolded protein assemblies with the outer lipid leaflet of the plasma membrane contribute to their binding to cells. For instance, A β assemblies interact with cholesterol (Avdulov et al., 1997; Di Scala et al., 2014) and with gangliosides (Yanagisawa et al., 1995; Molander-Melin et al., 2005), a class of amphiphilic glycolipids at the external membrane leaflet exposing oligosaccharide chains toward the exterior of the cell. Further aggregation of A β assemblies occurs on interaction with cholesterol (Avdulov et al., 1997) and the ganglioside GM1 (Hong et al., 2014). In the same line, α -syn assemblies interact with negatively charged phospholipids (Pieri et al., 2012), cholesterol, and gangliosides (Fantini and Yahi, 2011, 2013; Fantini et al., 2011) on the outer leaflet of the plasma membrane. The binding of α -syn assemblies to lipids is further supported by single-particle tracking on the neuron surface showing high diffusion speed and long trajectories (Shrivastava et al., 2013) with features similar to those of lipids (Renner et al., 2009) prior to their clustering. Similarly, tau protein and huntingtin interact with phospholipids (Jones et al., 2012; Patel et al., 2015; Pieri et al., 2012; Burke et al., 2013), and huntingtin was also found to be associated with lipid raft-enriched, detergent-resistant membranes (Valencia et al., 2010).

Interactions with Membrane Proteins

Direct interaction of misfolded protein assemblies with membrane proteins has received significant attention since pharmacological and genetic tools allow manipulation of such interactions. Membranous PrP^c (cellular prion protein) interacts with aggregated PrP; e.g., PrP^{RES} (Aguzzi and Falsig, 2012). A recent study identified PrP^c as a ligand for the G protein-coupled receptor Gpr126 in Schwann cells (Küffer et al., 2016). Although Gpr126 is not expressed in neurons, this study indicates that neuronal PrP^{RES} may not only bind to PrP^c but also acts as a ligand for other neuronal G protein-coupled receptors such as mGluR5s, which physically interact with PrP^c (Um et al., 2013). Several membrane proteins (Table 1) have been shown to interact with A β assemblies (Jarosz-Griffiths et al., 2016). Just to mention some of these interactions, A β assemblies bind with high affinity to α 7-nicotinic acetylcholine receptors (Wang et al., 2000; Dineley et al., 2001; Snyder et al., 2005; Dziejczapolski et al., 2009), leukocyte immunoglobulin-like receptor B2 (Kim et al., 2013), α 3-Na⁺/K⁺-ATPase (α 3-NKA) (Ohnishi et al., 2015), Ephrin B2 receptor (EphB2) (Cissé et al., 2011a), and the PrP^c-mGluR5 complex (Laurén et al., 2009; Renner et al., 2010; Um et al., 2013; Haas et al., 2014, 2016; Hu et al., 2014). Among these, because of available pharmacological agents, A β interaction with PrP^c-mGluR5 has received much attention. Although PrP^c knockout does not abolish A β binding

Table 1. Binding Partners of Pathogenic Proteins on the Neuronal Cell Membrane

	Pathogenic Proteins			
	Prion Proteins	Amyloid β	α -Synuclein	Tau
ECM components	HSPGs (Caughey et al., 1994)	HSPGs (Fraser et al., 1992)	HSPGs (Cohlberg et al., 2002)	HSPGs (Goedert et al., 1996)
	(Horonchik et al., 2005)	(Kanekiyo et al., 2011)	(Holmes et al., 2013)	(Holmes et al., 2013)
	Glypican-1 (Taylor et al., 2009)	Perlecan (Castillo et al., 1997)	Agrin (Liu et al., 2005)	
		Glypican-1 (Watanabe et al., 2004)		
		Agrin (Cotman et al., 2000)		
Lipids		cholesterol (Avdulov et al., 1997)	cholesterol (Fantini et al., 2011)	
		ganglioside GM1 (Yanagisawa et al., 1995)	ganglioside GM1 (Martinez et al., 2007)	
			ganglioside GM3 (Di Pasquale et al., 2010)	
Membrane proteins	PrP ^c (Brandner et al., 1996)	RAGE (Yan et al., 1996)	α 3-Na ⁺ /K ⁺ -ATPase (Shrivastava et al., 2015)	muscarinic M1 and M3 receptors (Gómez-Ramos et al., 2008)
	Adgrg6 (Küffer et al., 2016) ^a	p75 neurotrophin receptor (Yaar et al., 1997)	LAG3 (Mao et al., 2016)	
		α 7-nAChR (Wang et al., 2000)	Neurexin subunits ^b	
		insulin receptor (Xie et al., 2002)	1 α /2 α (Shrivastava et al., 2015)	
		Frizzled (Magdesian et al., 2008)	1 β /2 β /3 β (Mao et al., 2016)	
		PrP ^c (Laurén et al., 2009)		
		AMPA receptors (Zhao et al., 2010)		
		mGluR5 (Renner et al., 2010)		
		β 2-adrenergic receptor (Wang et al., 2010)		
		EphB2 (Cissé et al., 2011a)		
		Neurologin 1 (Dinamarca et al., 2011)		
		Amylin-3 receptor (Fu et al., 2012)		
		Fc γ R11b (Kam et al., 2013)		
		PirB/LilrB2 (Kim et al., 2013)		
		EphA4 (Vargas et al., 2014)		
		Sigma-2/PGRMC1 receptors (Izzo et al., 2014)		
		α 3-Na ⁺ /K ⁺ -ATPase (Ohnishi et al., 2015)		
		Neurexin subunits		
		2 α (Brito-Moreira et al., 2017)		
		1 β /2 β /3 β (Naito et al., 2017)		

Adgrg6, adhesion G protein-coupled receptor G6 or GPR126; α 3-Na⁺/K⁺-ATPase, α 3 subunit of sodium potassium ATPase; AMPA, α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; EphB2/A4, Ephrin B2/A4 receptor; Fc γ R11b, Fc γ receptor II-b; LAG3, lymphocyte activation gene 3; mGluR5, metabotropic glutamate receptor type 5; nAChRs, nicotinic acetylcholine receptors; PirB/LilrB2, paired immunoglobulin-like receptor B/leukocyte immunoglobulin-like receptor B2; PrP^c, cellular prion protein; RAGE, receptor for advanced glycation end products.

^aIdentified as Schwann cell receptor that binds to a fragment of neuronal PrP^c.

^bIdentified in screen but requires verification.

to cells and subsequent neurotoxicity (Balducci et al., 2010; Calella et al., 2010; Kessels et al., 2010; Cissé et al., 2011b), knocking down mGluR5s (Hamilton et al., 2014) and pharmaceu-

tical inhibition of mGluR5s (Um et al., 2013; Hamilton et al., 2016) delay neurodegeneration significantly. This suggests that mGluR5s is the critical membranous partner for A β pathologic

Box 2. Membrane-Driven Misfolded Protein Aggregation

Proteins in general and natively unfolded polypeptides in particular adopt numerous conformations. Let us assume that each amino acid residue can adopt three conformations (one *trans* and two *gauche*) with two torsion angles within a natively unfolded polypeptide made of 100 amino acid residues. The number of conformations such a polypeptide could adopt would be $3^{99 \times 2}$, i.e., 10^{95} possible conformations. The concentration and lifespan of each conformer are characteristic of the precise conformation the polypeptide adopts. The amino acid stretches exposed at the surface of a given conformer define its association and dissociation constants with different membrane components. The reversible binding of defined conformers with cell membrane components favors those conformations by displacement of the equilibria between the different conformers in solution toward those that bind with the highest affinity to membrane components. Thus, membrane components operate a triage between numerous conformers. When aggregation-prone conformations are populated by specific selection through binding to membrane components, further binding may either be negative (when the binding of one molecule opposes the binding of others) or positive (when the binding of one molecule facilitates the binding of others). Unless binding is negative, the local concentration of aggregation-prone conformers increases, favoring cooperative aggregation.

assemblies. Co-localization of A β oligomers and mGluR5s were also observed on astrocytes in mice and humans (Casley et al., 2009; Lim et al., 2013; Shrivastava et al., 2013).

A comparable mechanism was found for α -syn assemblies, which interact with the neuron-specific α 3 subunit of the sodium pump NKA (Shrivastava et al., 2015). Another study showed that binding of α -syn fibrils to membrane protein lymphocyte activation gene 3 (LAG3) contributes to α -syn endocytosis and cell-to-cell transmission and toxicity (Mao et al., 2016). Assemblies of α -syn also bind to other membrane proteins; e.g., neurexin subunits (Shrivastava et al., 2015; Mao et al., 2016), amyloid β precursor-like protein 1 (Mao et al., 2016), and the glucose-related protein of 78 kDa (GRP78; Bellani et al., 2014). Finally, extracellular tau assembly interaction with muscarinic receptors has been reported (Gómez-Ramos et al., 2008).

Thus, misfolded protein assemblies may interact with ECM components (HSPGs and glycoproteins), outer membrane lipids (cholesterol and gangliosides), and membranous proteins (Table 1). This diversity of partners suggests that there is no specific “receptor” for misfolded protein assemblies. The availability of multiple binders within the plane of the plasma membrane suggests that these multiple interactions increase pathogenic protein density, thus promoting 2D diffusion-dependent inter-molecular interactions inducing clustering and subsequent toxicity.

Consequences of Pathogenic Protein Binding to the Cell Membrane

Single-particle tracking and high-resolution imaging approaches have shed new light on the fate of misfolded protein assemblies after binding to the plasma membrane. The clustering of pathogenic proteins on the plane of the membrane results in the redistribution and trapping of membrane proteins and lipid molecules by a so-called diffusion trap mechanism comparable with that described for the interaction between receptors and scaffolding proteins and their subsequent accumulation at synapses (Triller and Choquet, 2008).

Changes in the Biophysical Properties of Pathogenic Proteins

In a manner similar to native functional proteins, misfolded proteins oscillate between different shapes, exposing diverse amino acid residues and surfaces (Fersht, 1999). This allows longitudinal and/or lateral interactions between like molecules, leading to

fibril formation (Eisenberg and Jucker, 2012; Brundin et al., 2010). Metastable pre-fibrillar oligomeric precursors form in the early stages of assembly into fibrils (Goldberg and Lansbury, 2000; Lashuel et al., 2002). To date, an extremely large variety of oligomeric species that differ in structure, molecular weight, and morphology have been described (Danzer et al., 2007; Cremades et al., 2012; Qin et al., 2007; Ehrnhoefer et al., 2008; Zhou et al., 2010; Pieri et al., 2016). In addition, the distinct conformation a pathogenic protein may adopt through its “chameleon” property in solution (Uversky, 2003) allows interactions with membrane components with different affinities. The interaction of misfolded proteins and their assemblies with membrane components restricts the conformational states they explore in solution (Onuchic et al., 1996). This is achieved by displacing the equilibrium between the different conformations they may adopt toward the one with the highest affinity for membrane components. Thus, for any given protein involved in neurodegenerative diseases, binding to membrane components selects specific conformations of the many they can adopt. In addition, the diffusion-dependent interaction of misfolded proteins and their assemblies with membranous components increases their local concentration. This is due to the shift from 3D to 2D diffusion. The subsequent molecular crowding increases the probability to reach a critical concentration, above which thermodynamically stable misfolded protein assemblies form and further grow in an unlimited manner (Oosawa and Asakura, 1975). Distinct membrane component-mediated selection of different protein conformers/oligomers together with the diffusion-dependent increase in protein conformer/oligomer concentration may be at the origin of the aggregation of a given protein into strains; e.g., structurally and functionally distinct assemblies. Overall, the plasma membrane sorts misfolded assemblies in a way that may favor selected pathogenic conformations and promote molecular clustering/aggregation (Box 2).

Lateral Diffusion and Aggregation of Pathogenic Proteins

Whether interacting with membrane-bound ECMs, lipid molecules, or membranous proteins, misfolded proteins and their assemblies exhibit anomalous diffusion along the plane of the membrane (Renner et al., 2010; Shrivastava et al., 2013, 2015). While diffusing, misfolded protein molecules and/or their assemblies may encounter identical molecules, resulting

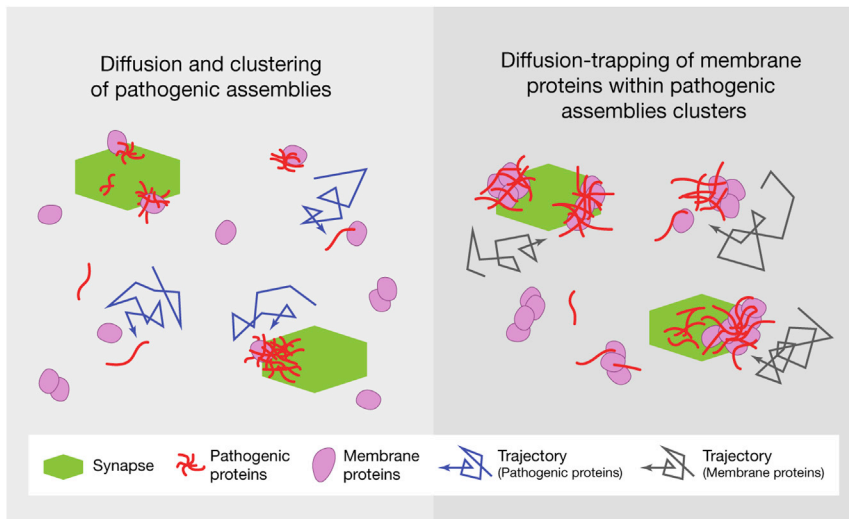


Figure 2. The Plasma Membrane Acts as a Chemical Reactor: It Favors Interactions between Proteins and the Formation of Clusters

Following binding to the plasma membrane, pathogenic proteins diffuse along the 2D plane that will favor interaction (also see [Box 1](#)) with other proteins (other pathogenic proteins and membrane receptors; [Table 1](#)). Left: interactions between pathogenic proteins promote their aggregation, often observed in the vicinity of synapses. Right: the aggregates of pathogenic proteins will trap diffusing native membrane components, resulting in the formation of clusters. Thus, the presence of non-physiological aggregates of pathogenic proteins can alter the distribution and function of physiologically important membrane proteins such as receptors, channels, or pumps (from left to right).

in interaction-driven aggregation, which, in turn, may induce abnormal or pathogenic distribution of partner membranous components (discussed below in [Trapping and Clustering of Membrane Proteins](#)). Thus, by restricting the space of diffusion from 3D to 2D, the plasma membrane acts as a chemical reactor ([Figure 2](#); [Salvatico et al., 2015](#)), favoring molecular interactions. A good example is that of A β oligomers, the aggregation of which increases on 2D charged membranes with the amount of charged lipids, consequently forming toxic aggregates because of molecular crowding ([Bokvist et al., 2004](#); [Bokvist and Gröbner, 2007](#)). A recent study highlights the biological relation of membrane aggregation to toxicity for A β assemblies using mouse models where A β was either membrane-anchored or non-anchored ([Nagarathinam et al., 2013](#)). Membrane-anchored but not non-anchored A β -accelerated A β deposition exacerbated amyloid-associated toxicity upon crossing with A β precursor protein transgenic mice ([Nagarathinam et al., 2013](#)). This study established that chronic association of A β peptides with the plasma membrane accelerates neurodegeneration. This is in line with work suggesting that the plasma membrane is the initial site for plaque deposition in human AD patients ([Yamaguchi et al., 2000](#)) as well as in a rodent model ([Kokubo et al., 2005](#)). In the case of α -syn, the oligomeric form shifts from a mono- to poly-dispersed structures in the presence of phospholipid membranes ([Anunciado et al., 2015](#)). Accordingly, the clustering of α -syn assemblies on the neuronal plasma membrane has been shown with super-resolution microscopy ([Shrivastava et al., 2015](#)). Using electron microscopy, another study reported that plasma membrane-bound fibrils of α -syn serve as nucleation sites for the aggregation and conversion into amyloid-like structures for extracellular monomeric α -syn ([Mahul-Mellier et al., 2015](#)). In the case of PrP^{RES} conversion, replication, and aggregation, the role of membranes has also been established. The lipid-rich domains on the plasma membrane are the sites for PrP^{RES} aggregation and rapid conversion ([Goold et al., 2011](#); [Godsave et al., 2013](#); [Rouvinski et al., 2014](#)). Furthermore, in vivo studies identified plasma membrane invaginations with PrP^{RES} aggregates as an early sign of prion disease ([Godsave et al.,](#)

[2013](#)). In addition, PrP^{RES} remained on the cell surface for hours, in the form of slow-moving strings and webs, sheltered from endocytosis ([Rouvinski et al., 2014](#)).

Pore Formation

The crowding at the plasma membrane rises with the increasing concentration of misfolded protein assemblies. This, together with the clustering and further aggregation of misfolded protein assemblies into higher-order structures ranging from pore-like to raft-like structures ([Danzer et al., 2007](#); [Kayed et al., 2003, 2004](#); [Kostka et al., 2008](#)), has consequences on overall membrane overall integrity, physical properties, and geometry ([Antonny 2011](#); [Garten et al., 2015](#); [Milanesi et al., 2012](#); [Li and Gorf, 2014](#)).

Trapping and Clustering of Membrane Proteins

Following their diffusion, both A β and α -syn assemblies form clusters in the vicinity of synapses ([Renner et al., 2010](#); [Shrivastava et al., 2015](#)). The preferential clustering of misfolded protein assemblies around curved membranous structures such as synapses could be either due to their higher affinity for defined membrane geometry ([Pranke et al., 2011](#)) or due to protein partners within these structures. In any case, the clustering of misfolded proteins affects the dynamic properties of both their membranous partners and the membrane geometry. The reduced dynamics and/or trapping of misfolded proteins partners, ECM, and lipids leads to aberrant, non-physiological distribution and, eventually, pathological gain or loss of signaling functions ([Figure 2](#); [Calamai and Pavone, 2013](#); [Calamai et al., 2016](#); [Ganzinger et al., 2014](#); [Hong et al., 2014](#); [Ross and Poirier, 2004](#); [Renner et al., 2010](#); [Shrivastava et al., 2013, 2015](#); [Yu et al., 2014](#)).

The increased localization of mGluR5s following diffusion trapping by A β assemblies at excitatory synapses and, more specifically, at dendritic spines ([Figure 3](#)) results in a constitutively activated signaling platform (gain of function) characterized by an increased mGluR5s-dependent Ca²⁺ response ([Renner et al., 2010](#)). The increased Ca²⁺ response is either the consequence of A β assemblies directly activating mGluR5s or PrP^C-mediated activation of mGluR5s ([Um et al., 2013](#); [Haas et al., 2014, 2016](#); [Hu et al., 2014](#)). The non-physiological misfolded

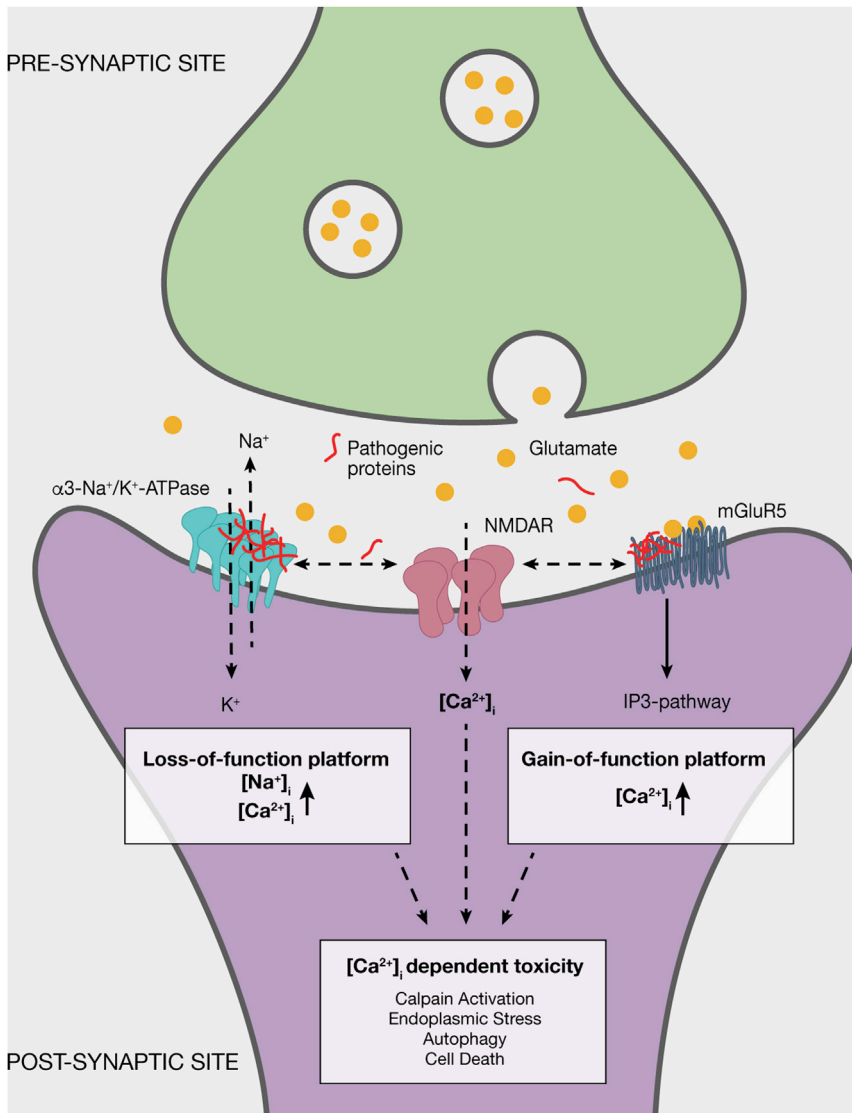


Figure 3. Deleterious Effects at Excitatory Synapses following Pathogenic Assembly Interaction with Membrane Proteins

Following their binding and clustering in the vicinity of synapses, pathogenic proteins initiate deleterious effects by forming artificial signaling platforms. This can be a loss-of-function platform (e.g., α -syn/ $\alpha 3$ -NKA; Shrivastava et al., 2015) or gain-of-function platform (e.g., the A β /mGluR5 complex; Renner et al., 2010). These platforms alter the ionic gradient in neurons by compromising the activity of trapped membrane proteins. The functional coupling of $\alpha 3$ -NKA/mGluR5s with NMDA receptors indirectly alters the activity of the latter, resulting in Ca²⁺-dependent toxicity.

membrane to the point where its integrity is compromised following rupture (Varkey et al., 2010; Pieri et al., 2012)

Alterations in Synaptic Scaffold Composition

Membrane receptors are stabilized at synapses by their interaction with sub-membranous scaffold proteins. A synapse can be described as a three-layer structure: a membrane, a sub-membranous scaffold, and a bulk layer (Sekimoto and Triller, 2009). This layered organization at the postsynaptic region is maintained through reciprocal interactions where scaffold proteins stabilize synaptic receptors that, in turn, stabilize scaffold proteins (Sekimoto and Triller, 2009). A similar mutual stabilization process may occur when pathogenic assemblies interact with partner molecules. Such interactions may subsequently lead to alteration of the sub-membranous proteins and their interacting partners' organization. A recent example of such a mechanism is that of PTEN (phosphatase and tensin homolog)-altered accumulation in spine

protein-mediated redistribution of partners within the membrane can also mimic the loss of function of a signaling pathway, observed following A β - and α -syn-mediated re-distribution of the $\alpha 3$ -NKA (Figure 3; Ohnishi et al., 2015; Shrivastava et al., 2015). Similarly, A β assembly-mediated GM1 clustering (Calamai and Pavone, 2013; Hong et al., 2014) will affect the maintenance of raft-like domains and membrane viscosity (Egeling et al., 2009; Renner et al., 2009).

Changes in the Topography of the Plasma Membrane

Misfolded protein binding, lateral diffusion, and further aggregation within the plasma membrane and misfolded protein-mediated redistribution of membranous partner molecules remodel the topography and fluidity of the plasma membrane. The changes range from alteration in membrane outer leaflet lipid composition because of the extraction of lipids from the lipid bilayer (Pandey et al., 2009; van Rooijen et al., 2009; Reynolds et al., 2011; Hellstrand et al., 2013) to the stiffening of the plasma

heads following exposure to A β assemblies (Knafo et al., 2016). The recruitment of PTEN is likely to result from an increased association of PTEN with PSD-95 via a PDZ domain-dependent interaction (Knafo et al., 2016). Although speculative, the underlying mechanism may involve a liquid-liquid phase separation mechanism, which has been recently proposed in an unrelated study (Zeng et al., 2016)

Physiological Changes because of Misfolded Protein Assembly-Mediated Clustering of Partner Proteins Glutamate Receptors Activation

Excessive influx of Ca²⁺ disrupts ion homeostasis, cell metabolism, and initiates the activation of apoptotic and necrotic pathways. Indeed, the intracellular Ca²⁺ ($[Ca^{2+}]_i$) level regulates the number and composition of receptors/channels in and outside of synapses (Choquet and Triller, 2013). Thus, the clustering of pathogenic proteins and membrane receptors around

the excitatory synapses will affect glutamate receptor signaling by constraining the flux of glutamate receptors in and out of synapses. In addition, functional coupling of glutamate receptors, specifically N-methyl-D-aspartate (NMDA) receptors with several synaptic receptors, such as mGlu5Rs (Rammes et al., 2011), α 3-NKA (Akkuratov et al., 2015), EphB (Nolt et al., 2011; Cissé et al., 2011a), etc., could impair NMDA receptor function and, consequently, long-term potentiation in neurodegenerative diseases (Shankar et al., 2007; De Felice et al., 2007; Cissé et al., 2011a; Li et al., 2011; Rammes et al., 2011; Diógenes et al., 2012; Hu et al., 2014).

The metabotropic glutamate receptors, specifically mGlu5s, also contribute to a rise in $[Ca^{2+}]_i$, which can be deleterious for neurons. The exposure of neurons to A β oligomers induces mGlu5-dependent release of Ca^{2+} from the endoplasmic reticulum and, consequently, toxicity (Renner et al., 2010; Um et al., 2013). Similarly, in astrocytes, A β oligomers cluster mGlu5, leading to an increase in $[Ca^{2+}]_i$ (Lim et al., 2013; Shrivastava et al., 2013). Thus, the mGlu5 platforms resulting from clustering lead to a gain of function and contribute to the deleterious effects of A β oligomers. In PD, mGlu5 antagonists are neuroprotective, but their mechanism of action remains unclear (Morin et al., 2013). Co-localization and co-immunoprecipitation of mGlu5s with α -syn has been reported in the hippocampus (Price et al., 2010; Overk et al., 2014). Furthermore, increased expression of mGlu5s has been reported in PD patient brains (Sanchez-Pernaute et al., 2008; Price et al., 2010). Notably, an upregulation of mGlu5s has been observed not only in PD and AD but several other neurodegenerative disorders. The upregulation, increased clustering, and increased surface expression of mGlu5 in neurons and astrocytes could be part of a neuroinflammatory response dependent on extracellular ATP/adenosine concentration (Pascual et al., 2012; Shrivastava et al., 2013), but this needs further evaluation. In any case, the increased surface availability of mGlu5s and its deleterious clustering is likely at the origin of elevated $[Ca^{2+}]_i$. High $[Ca^{2+}]_i$ will trigger calpain activation, endoplasmic reticulum stress, increased autophagy, and cell death (Figure 3; Mattson, 2007).

Impairment of Ion Transporters

Both A β (Ohnishi et al., 2015) and α -syn (Shrivastava et al., 2015) interact with the α 3 subunit of the NKA and impair the function of this pump. Remarkably, both A β and α -syn were found to bind to the same amino acid stretch centered on asparagine (Asn⁸⁷⁹) that is located in the third extracellular loop of the α 3 subunit of NKA. The binding of A β oligomers to α 3-NKA was reported to impair its catalytic activity, measured as ATP hydrolysis (Ohnishi et al., 2015). The binding of α -syn to α 3-NKA was reported to decrease the capacity of the transporter to rapidly extrude sodium ions because of aberrant co-clustering around synapses. Both interactions were found to increase Ca^{2+} excitotoxicity (Figure 3).

NKA restores the intracellular ion imbalance following action potential propagation by transporting three Na^+ out of and two K^+ into the cell at the expense of one molecule of ATP. The minimal functional unit of NKA is a dimer, consisting of a catalytic α subunit and a β subunit; the latter is required for the insertion of NKA into the membrane (Kaplan, 2002). Neurons express two isoforms of the catalytic α subunit: the ubiquitous α 1 and the

neuron-specific α 3. The neuron-specific isoform has a lower affinity for Na^+ than the ubiquitous α 1 isoform and is in a better position to take care of large transient increases in intracellular Na^+ during high neuronal activity (Azarias et al., 2013). The α 3 subunit also plays a pivotal role in the dynamic control of membrane potential. The brain is dependent on a functional NKA α 3 subunit. Mutations in the α 3 subunit have been described in several rare diseases (Heinzen et al., 2014) with severe neurological disturbances, and recently it has been reported (Rueggsegger et al., 2016) that the intracellular interaction between the α 3 subunit and the ALS-associated human mutant of SuperOxide Dismutase (SOD) accounts for some of the ALS symptoms. Furthermore, in the healthy brain, NKA activity accounts for approximately 50% of total brain energy consumption (Harris et al., 2012). Mitochondrial dysfunction is a common feature in genetic and sporadic PD, and mitochondrial dysfunction has also been shown to play an important role in the pathophysiology of AD (Johri and Beal, 2012). Based on the recent findings that α -syn and A β assembly binding to the extracellular domain of the neuronal α 3 isoform of NKA is deleterious, one can speculate that these neurodegenerative diseases are associated with a brain energy crisis where both the supplier and the processor of the energy are at stake.

Therapeutic Perspectives

The prion-like effects of A β , α -syn, and other pathogenic proteins offer alternative therapeutic strategies. Targeting extracellular and/or membrane-bound pathogenic assemblies and/or their interaction partners could prevent some of the early deleterious steps that lead, with time, to neurodegeneration. A first approach based on the inhibition and/or reduction of pathogenic protein assembly binding to the membrane should diminish their ability to further assemble and reorganize into toxic macromolecular structures. It could also prevent alterations in membrane components' dynamics and clustering. A second approach relying on the pharmacological modulation of the activity of plasma membrane proteins interacting with pathogenic assemblies would provide an additional therapeutic tool that would provide rescue from the downstream effects of deleterious signaling.

Disrupting Pathogenic Protein Binding to the Plasma Membrane

The clustering of pathogenic proteins and their assemblies within the cell membrane can indeed generate protein scaffolds that actively recruit other neuronal membrane proteins and compromise their function or normal distribution. Alternatively, the binding and docking of pathogenic protein assemblies may affect the overall normal distribution of membrane proteins through a passive exclusion mechanism, leading to a pathologic distribution. Understanding these changes is a prerequisite for a therapeutic strategy aimed at restoring the normal protein distributions. Recent studies have demonstrated that the binding of ligands (such as hsc70) to pathogenic protein assemblies can affect their ability to interact with the plasma membrane components, resulting in decreased toxicity (Pemberton et al., 2011). One cannot fully prevent the binding of pathogenic assemblies to the neuronal membrane. However, reducing the concentration of unbound assemblies within the extracellular milieu is eventually doable by the use of antibody-based therapies. Antibodies

targeting A β are neuroprotective in rodent models (Busche et al., 2015; Bales et al., 2016; Wisniewski and Goñi, 2015). Preliminary studies suggest that they may be beneficial to humans (Sevigny et al., 2016). Similarly, antibodies directed against tau blocked the pathogenic protein aggregates outside of the cells, in the inter-cellular space (Kfoury et al., 2012; Yanamandra et al., 2013; Pedersen and Sigurdsson, 2015; Castillo-Carranza et al., 2015). Immunization also prevented pathogenic α -syn from interacting with cells (Mandler et al., 2014, 2015; Tran et al., 2014). The precise mechanisms of antibody-mediated clearance of misfolded assemblies are unclear, and it is believed that microglia play a critical role in the clearance of antibody-bound assemblies. Other clearance mechanisms may include blockade of neuronal uptake (Funk et al., 2015) by therapeutic antibodies. Antibodies targeting extracellular pathogenic protein aggregates only recently showed some effectiveness in patients with AD and other neurodegenerative diseases (Ising et al., 2015; Sevigny et al., 2016). The shortcomings of this and other clinical trials is that they were mostly initiated late in the course of the disease, when neurodegenerative symptoms are well established. The development of amyloid imaging methods in AD and novel biomarkers should help to resolve this problem in the future and allow the studies to be carried out earlier. Currently, there are several ongoing pre-clinical and clinical studies validating the therapeutic potential of antibodies targeting A β (Ising et al., 2015; Sevigny et al., 2016), tau (Pedersen and Sigurdsson, 2015), and α -syn (Bergström et al., 2016).

Counteracting Pathogenic Protein Assembly-Mediated Membrane Protein Redistribution

The clustering of membrane proteins following the binding of pathogenic assemblies results in a gain of function or loss of function as described above (Figure 3). Strategies relying on identification of the surface interfaces between pathogenic protein assemblies and their membranous partners possess therapeutic potential. The α 3-NKA site that interacts with A β assemblies was identified based on molecular modeling (Ohnishi et al., 2015). Small peptides reproducing this interface prevented A β assemblies from interacting with α 3-NKA and were shown to be neuroprotective in a preliminary in vitro study (Ohnishi et al., 2015). Similarly, small peptides preventing A β binding to EphB2 rescued the impaired synaptic plasticity and memory deficits in a mouse model of AD by modulating NMDA receptors (Shi et al., 2016). This suggests that the peptides may have acted as a decoy to lure A β aggregates away from interacting membrane receptors and emphasizes the therapeutic potential of targeting specific interfaces between pathogenic protein aggregates and their membrane protein partners.

New knowledge about the deleterious interactions between A β , α -syn, and tau on one hand and plasma membrane proteins on the other hand will make it possible to develop antibodies with epitopes targeting the interaction domain on plasma membrane proteins. Such protective antibodies have been developed in CJD and proved to be therapeutically efficient in animal studies. The protective antibodies, which bind to the non-structured octapeptide domain of PrP^C, alter their conformations in a way that counteracts the toxicity of misfolded prions (Sonati et al., 2013; Herrmann et al., 2015). In another study, it was shown that antibodies binding to a specific segment on PrP^C

decreased their interaction with mGluR5s, a modulator of neurodegeneration in AD (Haas et al., 2014). Thus, immunotherapy targeting membrane partners of pathogenic proteins could offer neuroprotection.

Pharmacological modulation of the plasma membrane proteins that interact with pathogenic proteins is a simpler approach to developing a symptomatic therapy. Several receptors associated with Ca²⁺ signaling have been implicated in the pathophysiology of neurodegenerative disorders, especially AD (Table 1). A causal relationship between A β aggregation and mGluR5 dysfunction has also been established, and two different antagonists of mGluR5s reversed memory deficit in AD mouse models (Um et al., 2013; Hamilton et al., 2016). Among other A β -interacting membrane proteins, nicotinic acetylcholine receptors agonists/antagonists (Lombardo and Maskos, 2015) and the EphB2 inhibitors (Fu et al., 2014) are promising targets for AD. Several studies have demonstrated hyper-excitability in NMDA receptors in experimental models of AD, and there are clinical trials with moderately inhibitory NMDA receptor antagonists (Howard et al., 2012).

Shielding the membrane target of extracellular A β and α -syn is emerging as a novel therapeutic strategy in both AD and PD. Given the number of pathogenic protein assembly partners at the surface of neurons (Table 1), the use of agonists, inhibitors, or inhibitory peptides/antibodies targeting each partner protein may not be a therapeutic strategy that is easy to implement. An alternative strategy may involve the activation of pathogenic protein partners downstream of the signal transduction pathway. Indeed, α -NKA signaling, for instance, results in cell and tissue protection (Aperia et al., 2016). However, this necessitates the development of novel modulators of neuronal pathogenic protein partners that counteract their redistribution upon exposure of neurons to pathogenic protein assemblies involved in AD and PD and compensate for their inactivation following their clustering; for example, by inducing their expression and/or restoring or mimicking their normal function and/or signaling activities. Thus, interfering with pathologic misfolded protein interaction with cell membranes by changing their surface properties in a way so that they are not capable of binding membrane-associated partner proteins and/or shielding their receptors will represent a novel avenue to halt or slow down the progression of AD, PD, and many other neurodegenerative diseases.

Limitations and Future Perspectives

Although cell-to-cell transmission of pathogenic protein assemblies has been demonstrated, the contribution of this process to disease is questioned. This is due to the experimental setups that have been used and due to atypical PD and AD cases, representing up to 15%–20% of the cases that exhibit pathogenic patterns dissimilar from those reported by Braak and coworkers (Braak and Braak, 1991; Braak et al., 2003). Indeed, most of the experimental setups used so far are imperfect. High concentrations of pathogenic assemblies ranging from micromolar to nanomolar have been used to allow detection of the pathogenic assemblies. In addition, to favor amplification, transgenic animals, either overexpressing proteins which aggregation is involved in disease or variants of these proteins associated with familial forms of diseases with early onset, have been

employed in most studies. These limitations can be surpassed by the use and development of highly sensitive, dynamic imaging tools such as structured illumination microscopy (Hong et al., 2016), photo-activated localization microscopy (PALM)/STORM (stochastic optical reconstruction microscopy) imaging (Sigal et al., 2015), tissue clearing (Liebmann et al., 2016), and expansion approaches (Chen et al., 2015). The use of mature neurons with well-developed synapses/spines, human-derived neuronal cells, and new animal models better suited to document human age-related disorders should also be developed. Despite the limitations listed above, the finding that fibrillar assemblies with distinct surfaces and structures yield different pathologies in animal models (Kaufman et al., 2016; Peelaerts et al., 2015) strongly suggests that the interaction of divergent pathogenic assemblies with membranous partners and the redistribution of membrane protein partners they trigger specifically is critical for understanding neurodegenerative diseases progression. This is why it is mandatory to assess, at the highest possible resolution, the consequences of distinct pathogenic protein assembly binding to neuronal cell membranes to better understand the molecular processes leading to disease.

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